Module: NRC-M11

Submitter Name: National Railroad Construction and Maintenance Association (NRC)

Course Name: FRA 234 – Grade Crossing Safety

Course ID Number: NRC-M11

Course Duration: 8 hours including OJT; 4 hours classroom Power Point and 4 hours OJT

Prerequisite Training: None, however student must have knowledge of Highway-Rail Grade Crossing Signal and Warning Device Operations

Federal Law, Regulations or Orders: 49 CFR 243 Training Standards

Type of Assessment: Written Multiple Choice Test, Field Notes and/or Instructor Evaluation

Demonstrate the ability to properly protect rail-grade crossings when a signal malfunction is reported and properly complete, submit and record the required reports required in subparts A, B, C and D

Course Delivered by: ______________________________________

Course Description: Terminal Objectives expected:

A. General (234.1, .3, .5, .6)

1. The student will be given an understanding of the scope, application and responsibilities and the pertinent definitions that apply to this part. The student will understand the civil and criminal penalties that can be imposed for a violation of any of the rules of Part 234, Subparts A, B and C. This section is all in a classroom setting.

B. Rules and Plans (FRA 234.7, .9, .11)

1. The student shall understand the reporting requirements for accidents involving grade crossing signal failures. The students will understand the time periods in which each report must be completed and filed with the FRA. The students shall have an understanding of the information that is required to be included in each of the required reports. The student shall understand the requirements for states that are required to
have an FRA approved State Highway-Rail Grade Crossing Action Plan. The student will understand the information that must be included in these state plans and the methods by which they must be submitted to the FRA for approval. This section is all in a classroom setting.

C. Response to Credible reports of Warning System Malfunctions at Highway-Rail Grade Crossings (FRA 234.101, .103, .105, .106, .107, .109)

1. The students shall understand their responsibilities in reporting any crossing signal system malfunction in a timely manner. The student will understand the railroad's requirements to respond to all malfunction reports by protecting all traffic using the grade crossing until the grade-crossing warning device is repaired. The student will understand the protection options the railroad can use in protecting the grade crossing until the grade crossing warning device is repaired. The student will understand all recording and recordkeeping requirements included in this subpart. This section is all in a classroom setting.


1. The student shall learn the required location where plans for the maintenance and testing of the grade crossing warning system must be maintained. The student shall learn about the operating characteristics of a warning system apparatus. The student shall learn the components of a highway-rail grade crossing warning system and the required condition these components must be maintained to. The student shall learn what determines when a component needs adjustment, repair or replacement. The student shall learn the mounting requirements for components, wires and cables for a highway-rail grade crossing warning system. The student shall learn the test frequency requirements for each component of a highway-rail grade crossing warning system. The student shall learn that the components that do not meet the requirements of the inspection or test must be adjusted, repaired or replaced before the warning system can be put back in service. The student shall learn the information that must be recorded for each test conducted. The student shall learn where these reports must be maintained.
In conclusion, the students should have a good understanding of proper hours of service requirements for railroad employees as well as the reporting and recordkeeping requirements for those employees when conducting railroad business.

**Specific Objectives of this Module 11:**

1. To give the student an understanding the railroad employee’s responsibility to report all grade crossing signal failures and warning device activation issues reported by the quickest means possible
2. To give the student an understanding the reporting requirements for all crossing signal system failures and warning device activation issues.
3. To give the student an understanding of the information that must be included in each of the reports required by this subpart.
4. To give the student an understanding of the railroad’s requirement to provide protection at crossings where signal failures or activation issues have been reported.
5. To give the student the understanding of the options available to the railroad in providing protection at the crossings until the grade crossing warning device is repaired.
6. To give the student the understanding of the maintenance requirements for all components of a highway-rail grade crossing warning system.
7. To give the student an understanding that when a warning signal system failure occurs the faulty component must be adjusted, repaired or replaced prior to putting the system back in service.
8. To give the student an understanding of the function of each component of the highway-rail grade crossing warning system and how certain components must be secured.
9. To give the student an understanding of the inspection and testing requirements for components of a highway-rail grade crossing warning system.
10. To give the student an understanding of the required information that must be recorded of the reports required for every test that is conducted on a highway-rail grade crossing warning system.
11. To give the student a sense of the importance and pride in their performance in regard to the requirements of Part 234 Grade Crossing Safety Subparts A, B and C.

**Employers Primary Point of Contact Information (POC):**

1. Company Name:  ______________________________________________________
2. Point Of Contact (POC) Name: __________________________________________ 
3. Title of POC:  __________________________________________________________
4. Phone Number of POC: _________________________________________________
5. E-mail of POC: _________________________________________________________
6. Website of Company *(if applicable)*: _______________________________________
On-the-Job Training Standards for New Hire Track Employees

On-the-Job Training Roles and Responsibilities

1. The designated instructor serves as the overall coordinator of the specific OJT program and is primarily responsible for:
   - Acting as the principal point of contact for the process, and ensuring the process is properly implemented.
   - Ensuring that all trainees and qualified persons involved in the OJT process have received hard copies of the OJT program or electronic copies of the checklist.
   - Providing guidance to both the trainee and qualified person in the process once they have received the OJT program.
   - Ensuring that trainees have access to all of the supporting publications listed in this OJT program.
   - Ensuring the trainee has successfully completed all safety-related tasks to become a qualified member of an occupational category or subcategory.

2. The qualified person (sometimes referred to as a peer trainer) may serve as the mentor/coach for trainees. The qualified person must be qualified and has a duty to communicate with the trainees to ensure OJT is properly administered throughout the process. The qualified person will also provide daily briefings at the beginning and end of each day regarding the specific tasks focused on during that day. The trainee may perform OJT under the direct onsite observation of any qualified person, provided the qualified person has been advised of the circumstances and is capable of intervening if an unsafe act or noncompliance with Federal railroad safety laws, regulations, or orders is observed. However, the trainee must demonstrate OJT proficiency to the satisfaction of the designated instructor to become a qualified member of an occupational category or subcategory. A designated instructor and qualified person can be the same person.

3. The trainee (new hire) has the responsibility to pay close attention to the qualified person providing OJT, and to take advantage of the knowledge and experience he or she has to offer. Tracking progress of the OJT is essential and is the trainee’s responsibility. Trainees should be aware of, and abide by, the following:
   - The designated instructor and/or qualified person will provide practical information and advice on the requirements and
responsibilities of assigned duties.

• Trainees are responsible for completing any narrative and self-study assignments outside the scope of this OJT program. Additional assignments are an integral part of the training experience, and must be completed before being deemed qualified by the employer.

• To gain the maximum benefit from the OJT experience, trainees should:
  o Remain alert and involved in the training activities.
  o Ask questions and learn from feedback.
  o Take notes and apply previous lessons.
  o Complete all required assignments.
  o Become familiar with and comply with FRA regulations, railroad safety rules, and other procedures mandated as a condition of employment by the employer.
  o Develop and maintain a learning attitude.

• The OJT experience is designed to be much more than following a qualified person around and watching what he or she does. Trainees must take an active role in the OJT and thoroughly engage in the various job tasks outlined in this OJT program.

• Expect the qualified person to say, “Here, you give it a try.” Remember, while progressing through the OJT program, trainees have the opportunity to learn skills, to develop knowledge, and to adopt work habits and routines that will last throughout a railroad career.

• Tracking and documenting OJT progress is an essential process step.

**Guidelines for On-the-Job Training Program Coordination and Administration**

In most cases, the first week or so of employment will involve administrative details and an overall orientation. Although it is understood that a trainee’s duties may overlap with other organizational requirements, each day of OJT should focus on one of the major duties of the OJT program to the extent possible and may be supplemented by a mentoring program until the roadway worker is deemed qualified. Once the tasks have been selected, there should be both an initial briefing on the tasks to be completed at the
beginning and end of each day.

- The purpose of the debriefing is to go through the day’s activities, and to focus on each of the tasks associated with the task selected.

- There is no required sequential order for completing the OJT associated with any task, and no attempt is made to prioritize any tasks. Although OJT should be focused on a particular task, it is anticipated that the task standards will actually be accomplished based on available training opportunities and track access.
NOTE 1:

- All inspectors should have access to the reference material to use in the performance of their duties:
  - Track Safety Standards (TSS)
  - Part 234 – Grade Crossing Signal System Safety (Rule and Regulations Governing Railroad Signal and Train Control Systems)
  - FRA Compliance manual, and
  - Field guide (The Railway Educational Bureau)

NOTE 2:

- For record keeping purposes defect codes or general descriptions can be used.
  - The codes reflect the subsection and paragraph referenced in Appendix A of Part 234 – Schedule of Civil Penalties
  - Descriptions are a generalization of the referenced material.
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<tr>
<th><strong>Performance Tasks</strong></th>
<th><strong>Conditions: Tools, Equipment, Documents, Practice</strong></th>
<th><strong>Standards: Time, Completeness, or Accuracy</strong></th>
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<tr>
<td><strong>Task 1: Ground tests (234.249)</strong> Test for and detect circuit grounds.</td>
<td>Given a VOM meter, and the applicable circuit plan, the employee must be able to demonstrate the ability to:</td>
<td>Determine which circuits affect the proper function of the warning system.</td>
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<td>Detect any circuit ground or combination of grounds that permit a current flow of 75 percent or more of the release value of any relay or electromagnet device in the circuit.</td>
<td>Troubleshoot, locate, and eliminate the ground or grounds.</td>
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<td>Alternatively, if the ground(s) cannot be eliminated or reduced to less than 75 percent of the release value of any relay or electromagnet device in the circuit, take appropriate action(s) to warn highway traffic and railroad employees.</td>
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<td><strong>Reference:</strong> 49 CFR § 234.213 and 234.103.</td>
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<td><strong>Task 2: Standby power (234.251)</strong> Test standby power system for proper function and capacity.</td>
<td>Given a highway-rail grade crossing warning system circuit plan, an accurate timing device, and a VOM, hydrometer, or a peak hold meter the employee must be able to demonstrate the ability to:</td>
<td>Determine if the standby power system provides sufficient capacity to operate the warning system for the time that is specified on the location circuit plans.</td>
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<td><strong>Task 3: Flashing light units and lamp voltage (234.253)</strong> Test and inspect flashing light units and lamp voltage.</td>
<td>Given a VOM, an accurate timing device, and appropriate hand tools, the employee must be able to demonstrate the ability to: This standard must be successfully completed on at least three warning systems. If three systems are not available, the task must be completed three times on the same warning system on three different inspections.</td>
<td>Determine if each flashing light unit is positioned and aligned properly and visible to highway users approaching the crossing. Determine that each flashing light unit is sealed properly to prevent dust and moisture from entering the unit. Determine that all light units flash alternately at a rate between 35 and 65 flashes per minute. Determine that the voltage to each lamp is maintained at not less than 85 percent of the rated voltage for each incandescent lamp or LED. Clean lenses as needed. Reference: 49 CFR § 234.217 and 234.221.</td>
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| **Task 4: Gate arm and gate mechanism (234.255)** | Given an accurate watch or other timing device and necessary hand tools, the employee must be able to demonstrate the ability to:  

This standard must be successfully completed on at least three warning systems. If three systems are not available, the task must be completed three times on the same warning system on three different inspections. |  

Determine that each gate arm extends across each lane of approaching highway traffic.  

Ensure that the gate arm is maintained in a condition sufficient to be clearly seen by an approaching motorist or pedestrian.  

Determine that the gate arm starts downward movement after a delay of at least 3 seconds of the activation of the warning system.  

Ensure that the gate arm is in its full horizontal position no less than 5 seconds before the arrival of a normal train movement.  

Determine the proper function of each gate mechanism’s hold clear device.  

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| **Task 5: Warning system operation (234.257)**  
Operationally test the highway-rail grade crossing system. | Given an accurate watch or other timing device and a .06 ohm shunt, the employee must be able to demonstrate the ability to:  
Confirm that each direct current (DC), alternating current (AC), and electronic track circuit within the system detects the presence of a .06 ohm shunt across the rails.  
Determine if sand, rust, dirt, grease, or other foreign matter is preventing effective train detection and take appropriate action to ensure the safety of motorist and pedestrians.  
| **Task 6: Warning time (234.259)**  
Operationally confirm the warning time of the highway-rail grade crossing system. | Given a watch or other accurate timing device, the employee must be able to demonstrate the ability to:  
Determine that the warning system activates no less than 20 seconds before the crossing is occupied by rail traffic.  
This can be accomplished by observation, calculation, or shunt simulation.  
| **Task 7: Highway traffic signal pre-emption (234.261)**  
Operationally confirm the proper operation of any highway traffic signal pre-emption interconnections. | Given the appropriate circuit plans, the employee must be able to demonstrate the ability to:  
Determine that the appropriate output is being provided to the highway traffic signal systems. | |
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| **Task 8: Relays (234.263)** Test relays for proper operation. | Given the appropriate relay test device and the appropriate manufacturer’s design specification and condemning limits, the employee must be able to demonstrate the ability to:  
**Note:** This test is required:  
Every 4 years for DC relays,  
Every 2 years for AC relays, and  
Every year for AC centrifugal relays.  
Maintaining proficiency at this task may be problematic. Hence, retraining may be required before repeating this task. | Test at least 10 DC relays to ensure that they are operating within the manufacturer’s design parameters (if applicable).  
Test at least 10 AC vane-type relays to ensure that they are operating within the manufacturer’s design parameters (if applicable).  
Test at least 10 AC centrifugal relays to ensure that they are operating within the manufacturer’s design parameters (if applicable).  
If a relay fails to function in accordance with the manufacturer’s design parameters, remove the device from service.  
The employee should observe the relay for improperly installed or burnt ribbons and contacts, moisture, or foreign materials within the relay.  
The employee must complete this task with 100-percent accuracy.  
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| **Task 9: Timing relays and timing devices (234.265)**  
Test timing relays and timing devices. | Given a watch or other accurate timing device, the employee must be able to demonstrate the ability to:  
This standard must be successfully completed on at least three warning systems. If three systems are not available, the task must be completed three times on the same warning system on three different inspections. | Determine that timing relays and timing devices are maintained such that the timed intervals are no less than 90 percent and not more than 110 percent of the value as indicated on the circuit plans.  
If the timing relay or timing device fails to function as intended, make the necessary adjustment, repair, replacement, or other action to ensure the safety of motorists and pedestrians.  
**Task 10: Insulation resistance tests, wires in trunking and cables (234.267)**

Test insulation resistance of wires in trunking and cables.

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<td>Given a megohmmeter or other high-voltage resistance-checking device, the employee must be able to demonstrate the ability to: <strong>Note:</strong> This test is required every 10 years. Maintaining proficiency at this task may be problematic. Hence, retraining may be required before repeating this task.</td>
<td>Determine if wires, cables, and insulation are dry. Determine the insulation resistance value of at least 10 conductors, between each other and between each conductor and the ground. Take action to repair or replace any wire or cable with resistance between any wires or between any wires and the ground is less than 500,000 ohms. Immediately remove from service any wire or cable with insulation resistance between wires or between any wire and the ground is less than 200,000 ohms. If the trunking or cable fails to function as required above, make the necessary repair or replacement, or take action as required by 49 CFR § 234.247.</td>
<td>This task must be successfully completed on at least one trunking or one multiconductor cable.</td>
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| **Task 11: Cut-out circuits (234.269)**  
Operationally test each cut-out circuit. | Given a highway-rail grade crossing warning system, the appropriate circuit plan, and a switch obstruction gauge (if necessary) the employee must be able to:  
This standard must be successfully completed on at least three warning systems. If three systems are not available, the task must be completed three times on the same warning system on three different inspections. | Determine that each cut-out circuit is functioning as intended. If the cut-out circuit is used to detect a reversed switch, it must only cut out the warning system when the switch point is within one-half inch of the full reverse position.  
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<td><strong>Task 12 Insulated rail joints, bond wires, and track connections (234.271)</strong></td>
<td>Given a highway-rail grade crossing warning system installation and the appropriate circuit plans, the employee must be able to:</td>
<td>Inspect the highway-rail grade crossing warning to ensure that all fouling wires consist of two discrete conductors and that each conductor is of sufficient conductivity to ensure proper operation of the warning system when the train detection circuit is shunted.</td>
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<td>Ensure the physical integrity and functionality of insulated rail joints, bond connections, and track connections.</td>
<td>This standard must be successfully completed on at least three warning systems. If three systems are not available, the task must be completed three times on the same warning system on three different inspections.</td>
<td>Inspect the highway-rail grade crossing warning to ensure that each noninsulated rail joint in the train detection circuit is bonded and maintained in such condition as to ensure conductivity.</td>
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<td>Inspect and/or test all insulated joints in the warning system to ensure that no current is flowing between rails separated by the insulated joint sufficient to interfere with the proper function of the warning system.</td>
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